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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

396.41133X00

First Inventor

OOKAWA, TADASHI

Title

PRODUCTION OF OXIDE POLYSACCHARIDE DRIVATIVE AND  
OXIDIZED POLYGLYCOSAMINE DRIVATIVE

Express Mail Label No.

**APPLICATION ELEMENTS**

SEE MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 36]  
(preferred arrangement set forth below)

-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R & D  
-Reference to sequence listing, a table,  
or a computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total Pages: \_\_\_\_\_]

5. Oath or Declaration [Total Pages: 2]

- a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & documents(s)) ✓
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: **Credit Card Payment Form**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

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William Solomon

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Signature

*William Solomon*

Date

January 30, 2002

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number \_\_\_\_\_  
 Filing Date **January 30, 2002**  
 First Named Inventor **OOKAWA, TADASHI**  
 Examiner Name \_\_\_\_\_  
 Group Art Unit \_\_\_\_\_  
 Attorney Docket No. **396.41133X00**

TOTAL AMOUNT OF PAYMENT

(\$ 780.00)

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
 Deposit Account Number **01-2135**  
 Deposit Account Name **Antonelli, Terry, Stout & Kraus, LLP**  
☒ Charge Any Additional Fee Required  
 Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status.  
 See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☐ Check ☒ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 2. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     | 740.00   |
| 106            | 330             | 206            | 165             | Design filing fee      |          |
| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |

SUBTOTAL (1) 740.00

## 1. EXTRA CLAIM FEES

|                      | Extra Claims | Fee from below | Fee Paid |
|----------------------|--------------|----------------|----------|
| Total Claims 19-20** | =            | x              | =        |
| Indep. Claims 3-3**  | =            | x              | =        |
| Multiple Dependent   |              |                |          |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                          |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                      |
| 109            | 84              | 209            | 42              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

| Fee Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid   |
|----------|-----------------------|-----------------------|-----------------|--|
| 105      | 130                   | 205                   | 65              | Surcharge - late filing fee or oath  |
| 127      | 50                    | 227                   | 25              | Surcharge - late provisional filing fee or cover sheet                     |
| 139      | 130                   | 139                   | 130             | Non-English specification  |
| 147      | 2,520                 | 147                   | 2,520           | For filing a request for ex parte reexamination                            |
| 112      | 920*                  | 112                   | 920*            | Requesting publication of SIR prior to Examination action                  |
| 113      | 1,840*                | 113                   | 1,840*          | Requesting publication of SIR after Examiner action                        |
| 115      | 110                   | 215                   | 55              | Extension for reply within first month                                     |
| 116      | 400                   | 216                   | 200             | Extension for reply within second month                                    |
| 117      | 920                   | 217                   | 460             | Extension for reply within third month                                     |
| 118      | 1,440                 | 218                   | 720             | Extension for reply within fourth month                                    |
| 128      | 1,960                 | 228                   | 980             | Extension for reply within fifth month                                     |
| 119      | 320                   | 219                   | 160             | Notice of Appeal   |
| 120      | 320                   | 220                   | 160             | Filing a brief in support of an appeal                                     |
| 121      | 280                   | 221                   | 140             | Request for oral hearing   |
| 138      | 1,510                 | 138                   | 1,510           | Petition to institute a public use proceeding                              |
| 140      | 110                   | 240                   | 55              | Petition to revive - unavoidable   |
| 141      | 1,280                 | 241                   | 640             | Petition to revive - unintentional   |
| 142      | 1,280                 | 242                   | 640             | Utility issue fee (or reissue)   |
| 143      | 460                   | 243                   | 230             | Design issue fee   |
| 144      | 620                   | 244                   | 310             | Plant issue fee  |
| 122      | 130                   | 122                   | 130             | Petitions to the Commissioner  |
| 123      | 50                    | 123                   | 50              | Processing fee under 37 CFR 1.17(q)  |
| 126      | 180                   | 126                   | 180             | Submission of Information Disclosure Stmt                                  |
| 581      | 40                    | 581                   | 40              | Recording each patent assignment per property (times number of properties) |
| 146      | 740                   | 246                   | 370             | For each additional invention to be examined (37 CFR § 1.129(a))           |
| 149      | 740                   | 249                   | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 179      | 740                   | 279                   | 370             | Request for Continued Examination (RCE)                                    |
| 169      | 900                   | 169                   | 900             | Request for expedited examination of a design application                  |

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$ 40.00)

\*Reduced by Basic Filing Fee Paid

Complete (if applicable)

SUBMITTED BY

Name (Print/Type)

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Date

January 30, 2002

Signature *William I. Solomon*

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